

JEFFERSON COUNTY CIVIC FACILITY DEVELOPMENT CORPORATION
MISSION STATEMENT

Adopted May 3, 2012
Amended 10/01/15
Amended 10/04/18
Reviewed 10/03/19

I. BACKGROUND:

Pursuant to Chapter 506 of the Laws of 2009, known as the Public Authorities Reform Act of 2009 (öPARAö), which added a new Section 2824-a in Public Authorities Law (öPALö) of the State of New York (the öStateö), state and local public authorities are required to develop and adopt a mission statement and related performance measures to assist the authority determine how well it is carrying out its mission. For local authorities, as defined within PAL Section 2, this Mission Statement and the related Performance Measures are to be filed with the New York State Authority Budget Office (öABOö) by March 31, 2011.

JEFFERSON COUNTY CIVIC FACILITY DEVELOPMENT CORPORATION (hereinafter called the öCorporationö) was established as private, not-for-profit local development corporation of the State as a supporting organization for the County of Jefferson (the öCountyö) pursuant to a Certificate of Incorporation (the öCertificateö) filed with the Secretary of State on April 25, 2011 pursuant to and in accordance with Section 1411 of the Not-for-Profit Corporation Law (öN-PCLö and herein, the öLDC Actö) and constitutes a öLocal Authorityö as defined by PAL Section 2 and therefore is subject to the transparency, compliance and reporting requirements established pursuant to PARA and the Public Authorities Accountability Act of 2005 (öPAAAö).

The Corporation was established exclusively for charitable purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, to relieve and reduce unemployment, promote and provide for additional and maximum employment, better and maintain job opportunities, and lessen the burden of government and act in the public interest. Pursuant to the LDC Act and the Certificate, the Corporation is vested with powers to accomplish these corporate purposes.

The County, acting by and through the Chair of its Board of Legislators, serves as the sole Member of the Corporation and the Ex officio Directors of the Corporation are appointed from the Membership. Pursuant to and in accordance with the LDC Act, the Corporation has adopted By-laws governing the actions and activities of the Directors of the Corporation, along with its officers and employees. In accordance with the LDC Act, PAAA and PARA, the Corporation has further adopted and complies with the following corporate policies (collectively, the öCorporation Policiesö):

- a) Corporation Acknowledgement of Fiduciary Duties & Responsibilities;
- b) Corporation Audit and Finance Committee Charter;
- c) Corporation CEO & CFO Annual Report Certification;

- d) Corporation Certification of No Conflict of Interest & Jefferson County Financial Disclosure Form;
- e) Corporation Code of Ethics Policy;
- f) Corporation Compensation, Reimbursement and Attendance Policy;
- g) Corporation Defense and Indemnification Policy;
- h) Corporation Discretionary Funds Policy;
- i) Corporation Disposition of Real Property Guidelines;
- j) Corporation Equal Employment Opportunity Policy;
- k) Corporation Extension of Credit to Board Members and Officers;
- l) Corporation Governance Committee Charter;
- m) Corporation Independent Director Certification;
- n) Corporation Investment Policy with Internal Controls;
- o) Corporation Post Issuance Compliance Procedures;
- p) Corporation Procurement Policy;
- q) Corporation Real Property Acquisition Guidelines;
- r) Corporation Records Retention and Disposition Schedule MI-1;
- s) Corporation Travel Policy; and
- t) Corporation Whistleblower Policy.

In addition, as a public benefit corporation of the State, the Corporation is subject to and complies with applicable provisions of the Public Officers Law, including the Open Meetings Law (öOMLö) and Freedom of Information Law (öFOILö), along with the State Environmental Quality Review Act (öSEQRAö).

II. CORPORATION MISSION STATEMENT

The Corporation was established pursuant to the LDC Act with purposes and powers as set forth within the Certificate, and the Corporation's Mission includes undertaking projects and programmatic initiatives in furtherance of and promote and provide initiatives to advance the job opportunities, health, general prosperity and economic welfare of the people of Jefferson County. In furtherance of the purposes and powers vested in the Corporation pursuant to the LDC Act, the Corporation shall undertake projects, programs and initiatives to achieve the purposes as set forth within the LDC Act. In addition, and in doing so, the Corporation shall adhere to its adopted policies and applicable statutory requirements, including PAAA, PARA, OML, FOIL, and SEQRA.

The Corporation's goals include continued compliance with current obligations and responsibilities associated with ongoing projects and programs, in addition to identifying new projects and programs that will achieve the Corporation's purposes and Mission. In furtherance of these stated goals, the Corporation will endeavor to comply with all applicable provisions of the Act, PAAA and PARA. With these stated goals established, the Corporation's values are to maintain the highest ethical standards applicable to public officials and local authorities.

III. ANNUAL PERFORMANCE REVIEW MEASURES

The Corporation shall annually review this Mission Statement and identify whether the Corporation (i) continues to meet its stated mission, goals and values; (ii) can quantify measures of improvement to better meet its stated mission, goals and values; (iii) can become more effective and efficient; and (iv) is meeting the interests of the Corporation. In furtherance of the foregoing Performance Measures, the Corporation shall further undertake the following annual measures:

- 1) Assure that all current Corporation Members have acknowledged that they have read and understood the mission of the Corporation;
- 2) An annual review and affirmation of the Corporation's membership, board, committee and management structure;
- 3) An annual review and affirmation of its policies, along with Corporation appointment of Management of the Corporation, along with articulation of the respective roles, goals and expectations of each.