

JEFFERSON COUNTY INDUSTRIAL DEVELOPMENT AGENCY
CERTIFICATION OF NO CONFLICT OF INTEREST

Adopted 11/5/09 – Reviewed 10/01/20

I, _____, being a duly appointed (member/officer/employee) of the Jefferson County Industrial Development Agency (the "Agency"), do hereby certify pursuant to the by-laws and policies of the Agency, that neither I nor my spouse, minor children, nor dependents has any interest in any contract with the Agency; and I do further certify that I am not engaged in any activity which would constitute a conflict of interest, as defined within the Not-For-Profit Agency Law of the State of New York.

Further, I hereby certify that I have: (1) not accepted other employment which will impair my independence of judgment in the exercise of my official duties; (2) not accepted employment or engaged in any business or professional activity which will require me to disclose confidential information which I have gained by reason of my membership position with the Agency; (3) not disclosed confidential information acquired in the course of my official duties nor used such information to further my own personal interests; (4) not used or attempted to use my position with the Agency to secure unwarranted privileges or exemptions for myself or others; (5) not engaged in any transaction as a representative or agent of the Agency with any business entity in which I have a direct or indirect financial interest that might reasonably tend to conflict with proper discharge of my official duties; (6) not given reasonable basis for the impression that any person can improperly influence me or unduly enjoy my favor in the performance of my duties, or that I am affected by the kinship, rank, position or influence of any party or person; (7) abstained from making personal investments in enterprises which I have reason to believe may be directly involved with my responsibilities or which will otherwise create substantial conflict between my duty in the public interest and my private interests; and (8) endeavored to pursue a course of conduct which will not raise suspicion among the public that I am likely to be engaged in acts that are in violation of my Agency responsibilities.

DATE: _____, 20__

Signature

**JEFFERSON COUNTY INDUSTRIAL DEVELOPMENT AGENCY
ANNUAL CERTIFICATION OF NO CONFLICT OF INTEREST**

I, _____, being a (member/
officer/employee) of the Jefferson County Industrial Development Agency (the "Agency"), DO
HEREBY CERTIFY, as follows:

1. This Certificate is being delivered for purposes of complying with the provisions
of *Not-For-Profit Agency Law of the State of New York*.
2. That I own, directly or indirectly, five percent (5%) or more of the stock or other
equity interest of the following companies:

3. That I am an officer or employee of the following companies:

4. That I am a member of the board of directors of the following companies:

IN WITNESS WHEREOF, I have hereunto set my hand this ___ day of _____, 20__.

Signature

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

COUNTY OF JEFFERSON

For the period of **January 1, 20** through **December 31, 20**

Name and Address

Name

Title

Department or Agency

County Address

County Telephone

Spouse and Children

Provide the name of your spouse (if married) and the names of any dependent children.

Spouse

Child/Age

Child/Age

Child/Age

Child/Age

Child/Age

INSTRUCTIONS

PLEASE COMPLETE THE INFORMATION REQUESTED FOR EACH OF THE AREAS OF POTENTIAL CONFLICT SET FORTH AS ITEMS 1 THROUGH 5 (i.e., Financial Interests, Third-Party Reimbursements, Gifts and Honoraria, Interest in Contracts, Debts and Political Parties).

For the purpose of completing the "Reporting Category" for items 1a through 1d, 2, 3 and 4, no exact dollar amounts are to be included; rather all amounts are to be indicated using the following categories:

"A"	\$ 0 - \$ 5,000
"B"	\$ 5,001 - \$ 20,000
"C"	\$20,001 - \$ 60,000
"D"	\$60,001 - \$100,000
"E"	Over \$100,001

1. Financial Interests

- a. Business Positions - list any office, trusteeship, directorship, partnership, or other position in any business, association, proprietary, or not-for-profit organization for you, your spouse, and your dependent children, if any, and indicate whether these businesses are involved with the County of Jefferson in any manner.

Family Member	Position	Organization	County Dept. or Agency and Nature of Involvement	Reporting Category
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

- b. Outside Employment - Describe any outside occupation, employment, trade, business, or profession held by you, your spouse, and your dependent children, if any, and indicate whether such activities are regulated by any state or local agency, as well as any contract, promise or agreement for future employment with respect to your present employment with Jefferson County.

Family Member	Position	Organization	County Dept. or Agency and Nature of Involvement	Reporting Category
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

- c. Investments - Itemize and describe all investments or capital stock above a 5% share of ownership in any business, corporation or partnership, for you, your spouse, or your dependent children, if any. List the location of all real estate within the County, or within five (5) miles of the County, in which you, your spouse, or your dependent children, personally own or have an interest through those holdings listed above.

Family Member	Name and Address of Business	Description of Investment	Reporting Category
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

- d. Other Income - Identify the source and nature of any other income from any source not described above, including teaching income, lecture fees, consultant fees, contractual income, or income which you continue to receive from past employment, or other income of any nature, for you, your spouse, and your dependent children, if any.

Family Member	Name and Address of Source	Nature of Income	Reporting Category
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

2. **Third-Party Reimbursements, Gifts and Honoraria**

Identify and describe the source of any third-party reimbursement for travel-related expenditures in excess of \$250 for any matter that relates to your official duties. The term "reimbursement" includes any travel-related expenses provided by anyone other than the County for speaking engagements, conferences, or fact-finding events that relate to your official duties. List the source of all gifts aggregating in excess of \$250 received during the last year by you, your spouse, or dependent children, excluding gifts from a relative. Gifts include cash, property, personal items, honoraria, forgiveness of debt and any other payments not reportable as income.

Source	Description and Reporting Category
_____	_____
_____	_____
_____	_____

3. **Interest in Contracts**

Describe any interest of you, your spouse, or your dependent children, if any, in any contract involving the County, or any municipality within the County.

Family Member	Contract Description	Reporting Category
_____	_____	_____
_____	_____	_____
_____	_____	_____

4. **Debts**

Describe all debts of you, your spouse and dependent children in excess of \$5,000.00.

Name of Debtor	Name of Creditor	Reporting Category
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

5. **Political Parties**

List any position you held within the last five (5) years as an officer of any political party, political committee, or political organization. The term "political organization" includes any independent body or any organization that is affiliated with, or a subsidiary of, a political party.

_____	_____
_____	_____

I hereby certify, under penalty of perjury, that the information disclosed on this form is true and complete, to the best of my knowledge.

Signature

Date

JEFFERSON COUNTY ETHICS CODE
ANNUAL CERTIFICATION OF REVIEW

Article VI of the Jefferson County Code of Ethics, enacted by Local Law No. 2 of 1990, as amended provides that:

Every official, officer and employee is required to attest, on an annual basis that he or she has reviewed the Code of Ethics of the County of Jefferson, to be made on or before March 31 of the year for which the employment is in effect. Such attestation is to be filed with the Ethics Board.

I HEREBY CERTIFY that in compliance with the foregoing rule, I have reviewed the Code of Ethics of Jefferson County, and have met the aforesaid review requirement for the current calendar year.

Signature

Print Name

Date

PLEASE FILE THIS CERTIFICATION WITH YOUR ANNUAL FINANCIAL DISCLOSURE STATEMENT.